

# Representing Types in Map Theory

Sebastian Skalberg

skalberg@in.tum.de

Technische Universität München

# Overview

---

- Introduction
- Simple Types
- Function Types
- Equality Types
- Classical Types

# The Problem in a Nutshell

---

We want to be able to formalize statements like

“for all booleans  $A$ ,  $A \vee \neg A$  is true”

and

“when  $B$  is a natural number,  $\text{gcd}(B, B) = B$ ”

# The Problem in a Nutshell

---

We want to be able to formalize statements like

“for all booleans  $A$ ,  $A \vee \neg A$  is true”

and

“when  $B$  is a natural number,  $\text{gcd}(B, B) = B$ ”

However, it is syntactically permissible to ask

“what is the value of  $\text{gcd}(\text{True}, \neg 2)$ ?”

in an untyped logic like map theory.

# Some Observations

---

- In general, we cannot hope to have unique representations.

# Some Observations

- In general, we cannot hope to have unique representations.
- Not all maps are meaningful for a given type: Assume  $\perp$  and  $A$  were distinct representatives of some type, and that  $\text{swap}$  was given such that:

$$\text{swap}(\perp) = A$$

$$\text{swap}(A) = \perp$$

Then

$$A = \text{swap}(\perp) \preceq \text{swap}(A) = \perp$$

and hence  $A = \perp$ .

# Some Observations

- In general, we cannot hope to have unique representations.
- Not all maps are meaningful for a given type: Assume  $\perp$  and  $A$  were distinct representatives of some type, and that  $\text{swap}$  was given such that:

$$\text{swap}(\perp) = A$$

$$\text{swap}(A) = \perp$$

Then

$$A = \text{swap}(\perp) \preceq \text{swap}(A) = \perp$$

and hence  $A = \perp$ .

- Type membership is not decidable.

# Desired Properties

---

- Type membership must be expressible within the theory and semi-decidable, ie., for each type  $\alpha$  we want a function  $\cdot \text{ is } \alpha$  which is true exactly when its argument is of type  $\alpha$  and undefined otherwise.



# Desired Properties

---

- Type membership must be expressible within the theory and semi-decidable, ie., for each type  $\alpha$  we want a function  $\cdot \text{ is } \alpha$  which is true exactly when its argument is of type  $\alpha$  and undefined otherwise.
- The mapping from weak to strong representatives must be expressible within the theory, ie., we want a function  $\approx_{\alpha}(\cdot)$  that takes its argument to the corresponding strong representative of the type  $\alpha$ .

# Definition: Simple Type

A *simple type*  $\alpha$  is represented by a function,  $\approx_\alpha(\cdot)$ , satisfying

- $\approx_\alpha(\approx_\alpha(A)) = \approx_\alpha(A)$
- $\approx_\alpha(\perp) = \perp$

We say that a map  $A$  has type  $\alpha$  if  $\approx_\alpha(A)$  is defined. The function

$A \text{ is } \alpha \stackrel{\text{def}}{=} \text{ifnil } \approx_\alpha(A) \text{ then True else True}$

is true if  $A$  is of type  $\alpha$  and undefined otherwise.

# Example: Booleans

---

The type of booleans is described by the definitions

$$\text{True} \stackrel{\text{def}}{=} \text{N}$$
$$\text{False} \stackrel{\text{def}}{=} \lambda x. \text{N}$$
$$\approx_{\text{bool}}(A) \stackrel{\text{def}}{=} \text{ifnil } A \text{ then True else False}$$

# Intuition: Typed Functions

When is  $\mathcal{F}(\cdot)$  intuitively a function from  $\alpha$  to  $\beta$ ?

- If given something *not* of type  $\alpha$ , it should be undefined, ie.,

$$A \text{ is } \alpha = \perp \implies \mathcal{F}(A) = \perp$$

- If the function is total, we shall also want

$$A \text{ is } \alpha \implies \mathcal{F}(A) \text{ is } \beta$$

- It should be well-defined, ie.,

$$\approx_{\alpha}(A) = \approx_{\alpha}(B) \implies \approx_{\beta}(\mathcal{F}(A)) = \approx_{\beta}(\mathcal{F}(B))$$

# Properties of Typed Functions

---

A function  $\mathcal{F}(\cdot)$  is said to be of type  $\alpha \Rightarrow \beta$  if

- $\mathcal{F}(\perp) = \perp$ .
- If  $\approx_\alpha(A) = \approx_\alpha(B)$  then  $\mathcal{F}(A) = \mathcal{F}(B)$ .
- For all maps  $A$ ,  $\approx_\beta(\mathcal{F}(A)) = \mathcal{F}(A)$ .

# Some Operations on Booleans

$\neg A \stackrel{\text{def}}{=} \text{if } A \text{ then False else True}$

$A \vee B \stackrel{\text{def}}{=} B \text{ is bool} \mapsto \text{if } A \text{ then False else } \approx_{\text{bool}}(B)$

$A \wedge B \stackrel{\text{def}}{=} B \text{ is bool} \mapsto \text{if } A \text{ then } \approx_{\text{bool}}(B) \text{ else False}$

$A \rightarrow B \stackrel{\text{def}}{=} B \text{ is bool} \mapsto \text{if } A \text{ then } \approx_{\text{bool}}(B) \text{ else True}$

# Strictness and Type Inference

The strictness of typed functions allows us for example to deduce

$A$  is bool and  $B$  is bool

from the assumption

$A \vee B$  is bool

Especially, if disjunction was not strict, we could deduce nothing about  $B$  from the assumption  $\text{True} \vee B$ . We can therefore state lemmas like

$$A \vee B \implies B \vee A$$

without further assumptions.

# Definition: Function Type

Whenever  $\alpha$  and  $\beta$ , we define the *function type*  $\alpha \Rightarrow \beta$  by

$$\begin{aligned} F \text{ is } \alpha \Rightarrow \beta &\stackrel{\text{def}}{=} F \text{ is defined} \\ \approx_{\alpha \Rightarrow \beta}(F) &\stackrel{\text{def}}{=} F \text{ is } \alpha \Rightarrow \beta \mapsto \\ &\quad \lambda x.x \text{ is } \alpha \mapsto \approx_{\beta}(F'(\approx_{\alpha}(x))) \end{aligned}$$

Note that this is the type of *partial* functions from  $\alpha$  to  $\beta$ .



# Example: Natural Numbers

The natural number  $n$  is represented strongly by the term

$$\lambda x_1 \dots x_n. N$$

The type of natural numbers is then described by the definitions

$$A \text{ is nat} \stackrel{\text{def}}{=} \text{ifnil } A \text{ then True else } (A'N \text{ is nat})$$

$$\approx_{\text{nat}}(A) \stackrel{\text{def}}{=} A \text{ is nat} \mapsto \text{ifnil } A \text{ then } N \text{ else } K'(\approx_{\text{nat}}(A'N))$$

$$0 \stackrel{\text{def}}{=} N$$

$$\text{Suc}(A) \stackrel{\text{def}}{=} A \text{ is nat} \mapsto K'(\approx_{\text{nat}}(A))$$

# Example: Euclid's GCD Algorithm

Euclid's GCD algorithm is defined (almost) as usual:

$$\begin{aligned} \text{gcd}(A, B) &\stackrel{\text{def}}{=} A \text{ is nat} \mapsto \\ &\quad \text{case } B \text{ of } 0 \Rightarrow \approx_{\text{nat}}(A) \\ &\quad \quad | \text{Suc } x \Rightarrow \approx_{\text{nat}}(\text{gcd}(B, A \bmod B)) \end{aligned}$$

We can prove

$$\begin{aligned} \text{gcd}(A, 0) &= \approx_{\text{nat}}(A) \\ \text{gcd}(A, 1) &= A \text{ is nat} \mapsto 1 \\ 0 <_{\text{nat}} B &\implies \text{gcd}(A, B) = \text{gcd}(B, A \bmod B) \end{aligned}$$

# Definition: Equality Type

---

A simple type  $\alpha$  is called an *equality type* if there exists a total function  $=_{\alpha}$  of type  $[\alpha, \alpha] \Rightarrow \text{bool}$  such that

- $=_{\alpha}$  is a equivalence relation on  $\alpha$ .
- for all  $A$  and  $B$ ,  $A =_{\alpha} B$  implies  $\approx_{\alpha}(A) = \approx_{\alpha}(B)$ .

We shall say that  $=_{\alpha}$  is *reflective* when the second property above holds.

# Example: Addition

Since  $\text{nat}$  is an equality type, we can prove

$$A +_{\text{nat}} B = B +_{\text{nat}} A$$

by assuming  $A$  and  $B$  are natural numbers and then proving

$$\begin{aligned} \forall y. A +_{\text{nat}} y =_{\text{nat}} y +_{\text{nat}} A & \implies \\ A +_{\text{nat}} \approx_{\text{nat}}(B) =_{\text{nat}} \approx_{\text{nat}}(B) +_{\text{nat}} A & \implies \\ A +_{\text{nat}} B =_{\text{nat}} B +_{\text{nat}} A & \implies \\ \approx_{\text{nat}}(A +_{\text{nat}} B) = \approx_{\text{nat}}(B +_{\text{nat}} A) & \implies \\ A +_{\text{nat}} B = B +_{\text{nat}} A & \end{aligned}$$

# Definition: Classical Type

A simple type  $\alpha$  is called a *classical type* if all strong representatives of  $\alpha$  are classical, and there exists a function  $\mathbf{CL}_\alpha(\cdot)$  such that

- $\mathbf{CL}_\alpha(\cdot)$  is a boolean predicate on the classical maps, i.e.,

$$A \text{ is classical} \implies \mathbf{CL}_\alpha(A) \text{ is bool}$$

- of the classical maps, only those of type  $\alpha$  satisfy  $\mathbf{CL}_\alpha(\cdot)$ , i.e.,

$$A \text{ is classical and } \mathbf{CL}_\alpha(A) \implies A \text{ is } \alpha$$

- $\mathbf{CL}_\alpha(\cdot)$  holds for at least all strong  $\alpha$ , i.e.,

$$A \text{ is } \alpha \implies \mathbf{CL}_\alpha(\approx_\alpha(A))$$

# Typed Choice and Quantifiers

Using the  $\mathbf{CL}_\alpha(\cdot)$  functions from before, we can now define typed choice operators and typed quantifiers:

$$\varepsilon_\alpha x.A \stackrel{\text{def}}{=} \varepsilon x.\mathbf{CL}_\alpha(x) \tilde{\wedge} A$$

$$\exists_\alpha x.A \stackrel{\text{def}}{=} \exists x.\mathbf{CL}_\alpha(x) \tilde{\wedge} A$$

$$\forall_\alpha x.A \stackrel{\text{def}}{=} \forall x.\mathbf{CL}_\alpha(x) \tilde{\rightarrow} A$$

where

$$A \tilde{\wedge} B \stackrel{\text{def}}{=} \text{if } A \text{ then } \approx_{\text{bool}}(B) \text{ else False}$$

$$A \tilde{\rightarrow} B \stackrel{\text{def}}{=} \text{if } A \text{ then } \approx_{\text{bool}}(B) \text{ else True}$$

# The Function Type Revisited

If  $\alpha$  is a classical type and  $\beta$  is a simple type, we can now define the type  $\beta^\alpha$  of total functions from  $\alpha$  to  $\beta$ :

$$F \text{ is } \beta^\alpha \stackrel{\text{def}}{=} \forall_{\alpha} x. F'(\approx_{\alpha}(x)) \text{ is } \beta$$

$$\approx_{\beta^\alpha}(F) \stackrel{\text{def}}{=} F \text{ is } \beta^\alpha \mapsto$$

$$\lambda x. x \text{ is } \alpha \mapsto \approx_{\beta}(F'(\approx_{\alpha}(x)))$$

# Concluding Remarks

---

- The type schemes developed allow us to state and prove lemmas much like in syntactically comparable typed logics.
- While the class of classical maps is crucial for defining quantifiers over new types, classicality seldom turns up in proofs, once the basic properties of a new type has been proved.
- The notion of type has been almost indispensable for getting the Isabelle simplifier to work with map theory.



# References

---

- Klaus Grue. Map theory with classical maps. Can be found at <http://www.diku.dk/users/grue>, 2001.
- Sebastian C. Skalberg. *An Interactive Proof System for Map Theory*. PhD thesis, University of Copenhagen, 2002.